



11-26-01

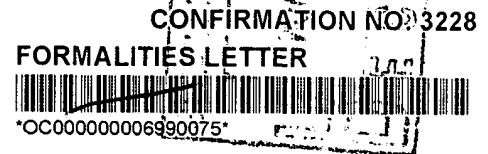
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,076 /	07/30/2001	Du	360D0002

22862  
GLENN PATENT GROUP  
3475 EDISON WAY  
SUITE L  
MENLO PARK, CA 94025



Date Mailed: 10/29/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 09/27/2001 to the Notice to File Missing Parts (Notice) mailed 08/21/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below **SHOULD** be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

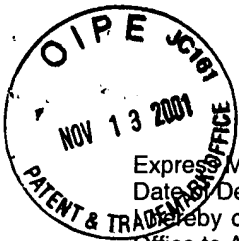
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

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***A copy of this notice MUST be returned with the reply.***

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

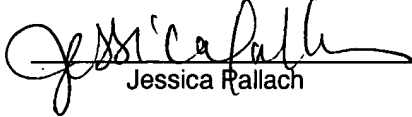
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail mailing label no. EI 556193305US

Date of Deposit: November 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

  
Jessica Rallach

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Du

**Docket No.:** 360D0002

**Serial No. :** 09/919,076

**Art Unit:** Unassigned

**Filed:** 30 July 2001

**Examiner:** Unassigned

**Title:** SMART CARD SECURITY INFORMATION CONFIGURATION AND RECOVERY SYSTEM

November 13, 2001

Assistant Commissioner for Patents  
BOX NON FEE  
Washington, DC 20231

**TRANSMITTAL**


Dear Sir:

Enclosed for the above-referenced patent are the following:

1. Response to Notice of Incomplete Reply;
2. Copy of Response to Missing Parts Notice filed 9/27/01;
3. Copy of Declaration filed 9/27/01;
4. Copy of PTO Stamped Postcard showing receipt of the declaration on 9/27/01; and
5. Return Postcard

The Commissioner is authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 07-1445 (Order No. 360D0002). A copy is enclosed for this purpose.

Respectfully submitted,

  
Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

Date of Deposit: September 27, 2001

I hereby certify that this paper is being deposited with the United States Patent and Trademark Office "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

COPY

Jessica Pallach

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Du et al.

Serial No. 09/919,076

Filed: July 30, 2001

For: Smart Card Security Information Configuration  
and Recovery System

)  
) Examiner: Unassigned

)  
) Art Unit: Unassigned

)  
)  
) September 27, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents  
and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed August 21, 2001, enclosed herewith are the following:

- Original signed Declaration;
- Assignment and Recordation Cover Sheet;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the surcharge and recordation fees of \$105.00 as well as any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. 360D0002). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

2 —

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

Attorney Docket No. 360D0002



#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of: Du**

**Docket No.: 360D0002**

**Serial No. : 09/919,076**

**Art Unit: Unassigned**

**Filed: 30 July 2001**

**Examiner: Unassigned**

**Title: SMART CARD SECURITY INFORMATION CONFIGURATION AND RECOVERY SYSTEM**

November 13, 2001

Assistant Commissioner for Patents  
BOX NON FEE  
Washington, DC 20231

**RESPONSE**

This is in response to the Notice of Incomplete Reply mailed 29 October 2001.

The Notice states that the declaration filed with the Missing Parts Response was not received by the Patent Office with Applicant's response of 27 September 2001. Enclosed is the PTO stamped postcard showing receipt of the declaration, as well as a copy of the declaration.

Since the Notice of Incomplete Reply was sent in error, Applicant herein requests that the above-referenced file show that all requirements were timely filed. Applicant also requests that the Notice of Incomplete Reply be withdrawn.

Applicant hereby states that no extensions of time are required, since the response filed 9/27/01 was complete. However, the Commissioner is authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 07-1445 (Order No. 360D0002).

Please contact the undersigned if there are any further questions.

Respectfully submitted,

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



Patent and Trademark Office (PTO) Acknowledgement

Please acknowledge the receipt of the enclosed documents, listed below, by imprinting the PTO date stamp in the space indicated and returning this postcard to the addressee indicated on the reverse.

Re: Application- Du et al. Ser. No. 09/919,076

Smart Card Security Information Configuration and Recovery System File No. 360D0002

First Class Mail

Certificate of First Class Mailing

Date Mailed: September 27, 2001 ✓

Patent Application - Type Provisional

Oath/Declaration/Power of Attorney ✓

Assignment & Assignment Recordation ✓

Patent Application Transmittal Form

Formal Drawings - no. of sheets 18

Informal Drawings - no. of sheets 2

Information Disclosure Statement-

1449 Form -

Cited References

Response (Amendment)

Preliminary amendment

Extension of Time - 1 Month(s)

✓ Express Mail No. EL556470953US

✓ Certificate of Express Mailing

Small Entity Statement - Business

Small Entity Statement - Independent

✓ Copy of Missing Parts Notice

✓ Response to Missing Parts Notice

IPER

RCE transmittal

73 Pages of Spec. and Drawings

Issue fee transmittal

Transmittal form

Other:

✓ Fee \$ 105.00

✓ Check No. Charge to Deposit acct.

